Scalable Computational Tools for Discovery and Design: Excited State Phenomena in Energy Materials

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The objective of our proposed work is to develop and implement new methods and theories to predict electronic excited state phenomena in energy related materials, e.g., materials for photovoltaics, photocatalysis, and electrical energy storage.

Photovoltaic Materials: Hot Carriers

- Main source of energy loss in solar cells. The dynamics of hot carriers (not in equilibrium) is central to many energy photovoltaic conversion processes
- Characterization of hot carriers has long been a challenge even for the simplest materials
- May be generated by injection or optical excitations



Photo by Roy Kaltschmidt



Relaxation time

How long it takes for hot carriers to lose energy

• Fast relaxation away from band edge: ~10 fs

• Slower relaxation near the band edge: >100 fs



M. Bernardi, D. Vigil-Fowler, J. Lischner, J. B. Neaton and S.G. Louie, Phys. Rev. Lett. 112, 257402 (2014)

COMPUTATIONAL METHODS

Solving an eigenvalue problem for large systems. Determine structural properties and input for response functions and excited state computation.

Targets: Complex molecular systems (organic semiconductors) and nanoscale systems related to energy materials.

Physical approximations: Density functional theory (simplify many body problem) and pseudopotentials (set energy and length scales to valence states).



Target solving the Kohn-Sham problem for large scale systems

THe Kohn-Sham Problem:

$$-\frac{\hbar^2 \nabla^2}{2m} + V_{ion}(\vec{r}) + V_H(\vec{r}) + V_{xc}(\vec{r}) \bigg| \psi_n(\vec{r}) = E_n \psi_n(\vec{r})$$

Charge density:

$$|\vec{r}) = e \sum_{occup} |\psi_n(\vec{r})|^2$$

Hartree-Potential:

$$V_H(\vec{r}) = e \int \frac{\rho(\vec{r}')}{|\vec{r}' - \vec{r}|} d^3 r'$$

Effective many body potential:

$$V_{xc}(\vec{r}) = V_{xc}[\rho(\vec{r})]$$

Electronic energy from a solution to the Kohn-Sham Problem

$$E_{Total} = \frac{1}{2} \sum_{i,j;i\neq j} \frac{Z_i Z_j e^2}{|\vec{R}_i - \vec{R}_j|} + E_{electronic}$$
$$E_{electronic} = \sum_{n,occup} E_n - \frac{1}{2} \int d^3 r \, V_H \rho + \int d^3 r \left[\varepsilon_{xc} - V_{xc} \right] \rho$$



Example: Understanding the structure of a metal-semiconductor interface (Pb on Si). Lattice mismatch has 10 Pb atoms for every 9 Si atoms.

1500 atoms were treated and the structure was fully relaxed.

Algorithms: Discretize the Kohn-Sham problem



Discretize Kohn-Sham Equation: Solve using high-order finite differencing Goals: Ease of implementation. Minimize global communications.

Real-space Finite Difference Methods

Use High-Order Finite Difference Methods [Fornberg & Sloan '94]

Typical Geometry = Cube – regular structure.

Laplacian matrix need not even be stored.

Order 4 Finite Difference Approximation:

$$\frac{\partial^2 \Psi}{\partial x^2}\Big|_{x=x_0} = \frac{1}{h^2} \sum_{m=-M}^{m=M} C_m \Psi(x_0 + mh)$$



The Kohn-Sham problem: Better Eigensolvers

Initial Guess for $V, V = V_{at}$ Solve $(-\frac{1}{2}\nabla^2 + V)\psi_i = \epsilon_i\psi_i$ Calculate new $\rho(r) = \Sigma_i^{occ} |\psi_i|^2$ Find new V_H : $-\nabla^2 V_H = 4\pi\rho(r)$ Find new $V_{xc} = f[\rho(r)]$ $V_{new} = V_{ion} + V_H + V_{xc} + 'Mixing'$ If $|V_{new} - V| < tol$ stop

Diagonalization is computationally demanding using "standard" algorithms.

Computational load can be dramatically reduced using subspace filtering.

Filtered Subspace Iteration

Define charge density matrix:

$$P = \Phi^T \Phi \qquad \Phi = \left[\psi_1, \psi_2, \dots, \psi_{occup} \right]$$

where the diagonal is the charge density.

For any orthonormal matrix U, we can write

$$P = \Phi^T (U^T U) \Phi = (\Phi U)^T (U \Phi)$$

We do not need explicit vectors, we only need to know:



We can find this using subspace filtering.

Nature of the Filter

Given the diagonalized solution:

Let us consider a polynomial filter, p(H)

$$H = Q^{T} \Lambda Q \qquad \Lambda = \operatorname{diag}(\lambda_{1}, \lambda_{2}, \dots, \lambda_{N}) \qquad Q = [\psi_{1}, \psi_{2}, \dots, \psi_{N}]$$
$$P(H) = Q^{T} P(\Lambda) Q = \sum_{i=1}^{N} P(\lambda_{i}) \psi_{i}^{T} \psi_{i}$$
$$P(H) v = \sum_{i=1}^{N} P(\lambda_{i}) (\psi_{i}^{T} v) \psi_{i}$$

Suppose we choose our filter such that it is small for states not of interest, e.g., $p \approx 0$, for empty states, then we can approach what we want

$$\hat{\psi}_j = P(H)v_j = \sum_{occup} P(\lambda_i)(\psi_i^T v_j)\psi_i \implies \hat{\psi} = U\psi$$



"New" Self-Consistent Loop

Select initial
$$V = V_{at}$$
Get initial basis $\{\psi_i\}$ (diag)Calculate new $\rho(r) = \sum_i^{occ} |\psi_i|^2$ Find new $V_H: -\nabla^2 V_H = 4\pi\rho(r)$ Find new $V_{xc} = f[\rho(r)]$ $V_{new} = V_{ion} + V_H + V_{xc} + 'Mixing'$ If $|V_{new} - V| < tol$ stopFilter basis $\{\psi_i\}$ (with H_{new})+orth.

Filtering operation replaces diag operation.

"Typical Results"



method	# MV products	# SCF	total_eV /atom	CPU(secs)
CheFSI	124761	11	-77.316873	5946.69
ARPACK	142047	10	-77.316873	62026.37
TRLan	145909	10	-77.316873	26852.84

 $Si_{525}H_{276}$, N = 292584, $n_{occ} = 1194$, m = 8.

ARPACK: Implicit restart Arnoldi/Lanczos code, one of the best public domain eigenvalue packages, often used for benchmarking. (R. Lehoucq, D. Sorensen, C. Yang).

TRLan: Thick-restart Lanczos. (K. Wu, H. Simon). (improved symmetric eigensolver based on ARPACK).

> http://parsec.ices.utexas.edu Phys. Rev. E 74, 066704 (2006)

Can we create a "parallel" eigensolver?



Solve for the energy window (or spectrum slices) independently. Mitigates Ritz step bottleneck— the diagonalization of a dense matrix (set by the number of desired eigenvalues).

Spectrum Slicing for the Kohn-Sham Problem



G. Schofield, J.R. Chelikowsky and Y. Saad, Comp. Phys. Commun. 183, 497 (2012)

Complications:

Avoid missing eigenvalues

- Overlap energy windows, creating selvage region

Avoid double counting eigenvalues

- Singular value decomposition to eliminate duplicate eigenvalues



The red line shows the Ritz value of the last converged eigenvalue.

Once the line leaves the energy range of the slice, the method will have found all the eigenvalue of interest.

Proof in principle: Spectrum of Si Nanocrystal

Spectral slicing using filtering allows each energy region to be determined in an independent fashion. Avoids key ottlenecks in the Kohn-Sham solution for large systems.



Spectral slicing using filtering reproduces full eigenvalue spectrum.

-0.2

Energy (Ry)

Implementation issues

- Balance windows to contain similar number of eigenvalues
- Minimize global communications
- Reduce memory load
- Expedite mat-vec operations

Predicting the Spectral Distribution

The density of states (spectral distribution) gives the number of states in a width ΔE where $\Delta E \rightarrow 0$

-Provides useful physical information without having to compute all the states

-Several algorithms can be used to determine the DOS and estimate the number of eigenvalues in an interval: kernel polynomial method, approximate Lanczos and continued fractions.



Density of States (Spectral Density) Estimation

$$\phi(\lambda) = trace(\delta(H - \lambda I))$$

Kernel polynomial method (KPM): Expand the density of states into polynomials:

$$\phi(\lambda) \approx \sum_{k=0}^{m} \gamma_{k} T_{k}(\lambda)$$

Coefficients γ_k require evaluation of $trace(T_k(H))$

By using stochastic sampling:

L. Lin, C. Yang and Y. Saad (to be published)



trace(H)
$$\approx \sum_{i} \frac{\boldsymbol{\psi}_{i}^{T} H \boldsymbol{\psi}_{i}}{\boldsymbol{\psi}_{i}^{T} \boldsymbol{\psi}_{i}}$$

Communication and Cache Management

Sending data over the network must avoid unnecessary overhead.

- -Buffer copies should be eliminated.
- -Arithmetic work needs to happen concurrently with communication.
- -Low overhead remote direct memory access (RDMA) routines should be used.

We need to exploit structure in the Hamiltonian to improve cache utilization, vectorization and minimize indexing.



mat-vec operations dominate the computational load

The Laplacian Term

In the new algorithm, the array structures, already present in the problem, are handled as if they were primitive objects.

-Handling arrays yields better vectorization.

-Once an array is used, it can be reused in other outputs that need that data.

-This gives better cache reuse, leading to more efficient use of memory bandwidth and higher multicore performance.





Example: Speedup comes from vectorization and minimizing indexing



Network Performance

Those blocks of data needed by remote processors are separated and ordered contiguously in memory.

Extra copies into buffers are eliminated in the communication code this way.

The new code uses low-level remote direct memory access, and overlapping communication.



Time to Filter 32 Vectors (64M grid points, 512 compute nodes)



Example of an Application: Simulating Atomic Force Microscopy Images

Atomic Force Microscopy Images



Simulation of AFM image requires calculating the force on the tip. The number of calculated geometries can easily approach ~10⁴. Modeling the tip and sample can involve hundreds of atoms.

Simulate the images of a functionalized tip with a CO molecule.





Experimental AFM images with Xe and CO tip

30

Posters for "Excited State" Applications and Methods

Excited State Computations: GW Method

$$\left[-\frac{1}{2}\nabla^2 + V_{\text{ion}} + V_{\text{H}} + \Sigma(E_n^{\text{QP}})\right]\psi_n^{\text{QP}} = E_n^{\text{QP}}\psi_n^{\text{QP}}$$

The quasiparticle energy corresponds to the energy create to particle-like excitation in a system, e.g., adding a particle to or removing a particle from a system of N interacting particles.

$$\Sigma(\mathbf{r},\mathbf{r}',\boldsymbol{\omega}) = i \int \frac{dE'}{2\pi} e^{-i\delta\omega'} G(\mathbf{r},\mathbf{r}';\boldsymbol{\omega}-\boldsymbol{\omega}') W(\mathbf{r},\mathbf{r}';\boldsymbol{\omega}')$$

 \sum is the non-local, energy-dependent, non-Hermitian, self-energy operator; its exact form is unknown, but progress can be made by approximating it within many-body perturbation theory, e.g., as the first term of an expansion in the screened Coulomb interaction.



Theoretical developments and applications in excited-state calculations

James R. Chelikowsky, Jack R. Deslippe, Felipe H. da Jornada, Steven G. Louie, Jeffrey B. Neaton, Johannes Lischner, Fang Liu, Diana Y. Qiu, Jaime S. de Sousa, Sahar Sharifzadeh, Derek Vigil-Fowler, Chao Yang



calculations on large systems.

Collaborators

integrals as constraint during generation.

MSD Materials Sciences Division

Future Works

· Investigate efficacy of PAW formalism for shallow-core systems, where

· Design improved pseudopotentials including accurate exchange

Derek Vigil-Fowler, Brad D. Malon and Steven G. Loui

computational efficiency is drastically reduced with PW-PP GW.

Office of Science



smaller (larger) for PW-

PP GW calculations

across different system types

AE

GW

· Atomic Si, Ga, As, and Ar show small differences in bare exchange

Trends are robust across all atoms studied, which vary in localization of electrons and presence of d-states, indicating the trends are robust

between all-electron (AE) and PW-PP GW calculations (~1%).

relative to

calculation

- Challenge: calculate quasiparticle band structure and exciton binding energy of monolayer MoSe₂ including the screening from the substrate. The MoSe2 monolayer is only commensurable with the substrate on a 3x3 supercell.
- We developed an *ab initio* method to calculate the screening from the substrate without having to construct a large supercell for the material + substrate.
- Idea: we fully consider the perpendicular component of the screening, but neglect in-plane local fields:

 $\tilde{\chi}_{\mathbf{G}\mathbf{G}'}^{0,\text{bilayer}}(\mathbf{q}) = \chi_{\mathbf{G}\mathbf{G}'}^{0,\text{bilayer}}(\mathbf{q}) \, \delta_{\mathbf{G}_{x}\mathbf{G}'_{x}} \delta_{\mathbf{G}_{y}\mathbf{G}'_{y}}$

 $= \left[1 - \nu \left(\chi^{0,\text{MoSe2}}(\mathbf{q}) + \tilde{\chi}^{0,\text{bilayer}}(\mathbf{q})\right)\right]_{GG'}^{-1}$

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Collaborators

energy by a similar amount

quasiparticle gap by 130 meV, and decreases the exciton binding

Future Works

Calculate the effect of metallic screening on MoSe₂.

Theory

Experi

· Apply the method for molecular systems.



New algorithms and improvements to the BerkeleyGW excited state code

James R. Chelikowsky, Jack R. Deslippe, Felipe H. da Jornada, Steven G. Louie, Jeffrey B. Neaton, Johannes Lischner, Fang Liu, Diana Y. Qiu, Jaime S. de Sousa, Sahar Sharifzadeh, Derek Vigil-Fowler, Chao Yang



Science



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