

# Discontinuous methods for massively parallel quantum molecular dynamics: Lithium ion interface dynamics from first principles

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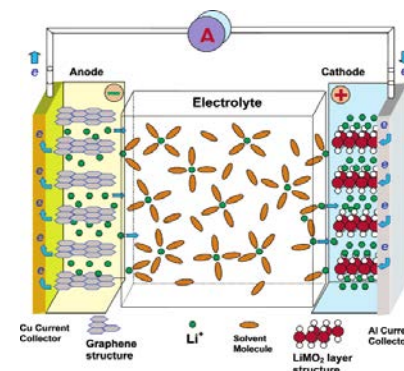
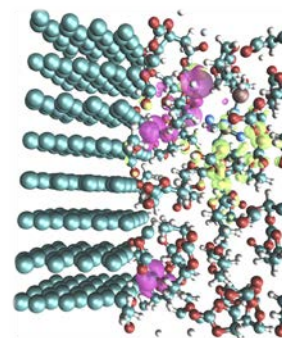
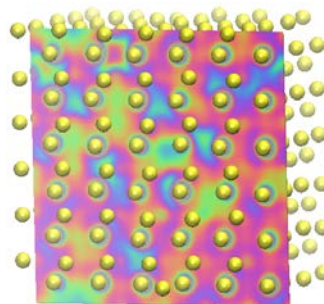
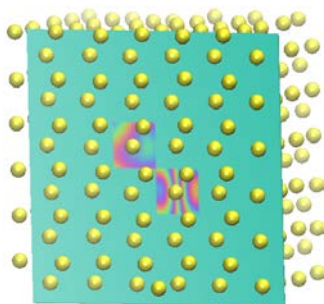
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**Valerio Pascucci\*\***, **Attila Gyulassi**

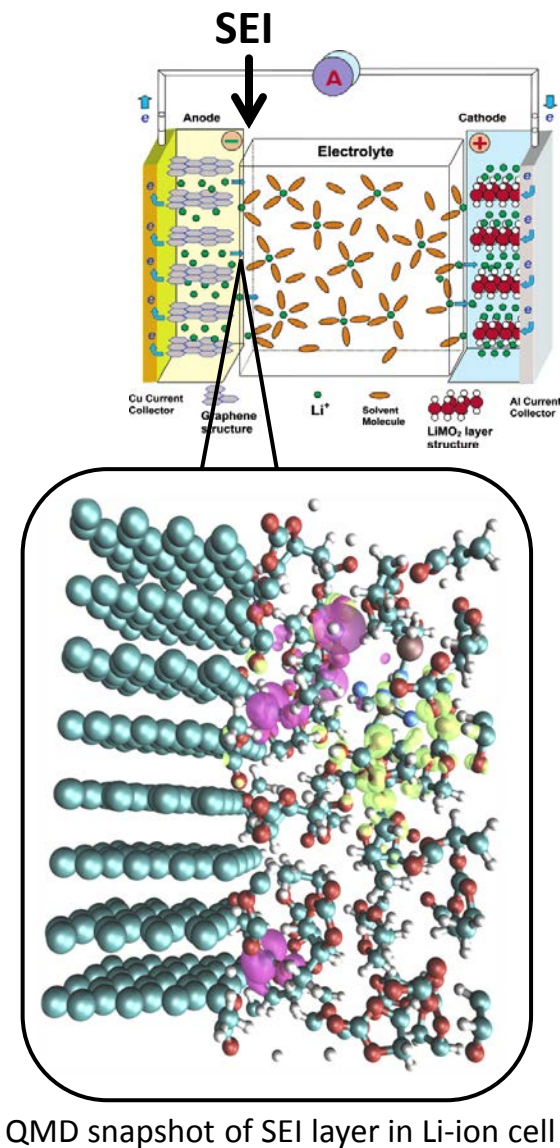
*Scientific Computing and Imaging Institute, University of Utah*

*\*\*SciDAC SDAV Institute*



# Overview

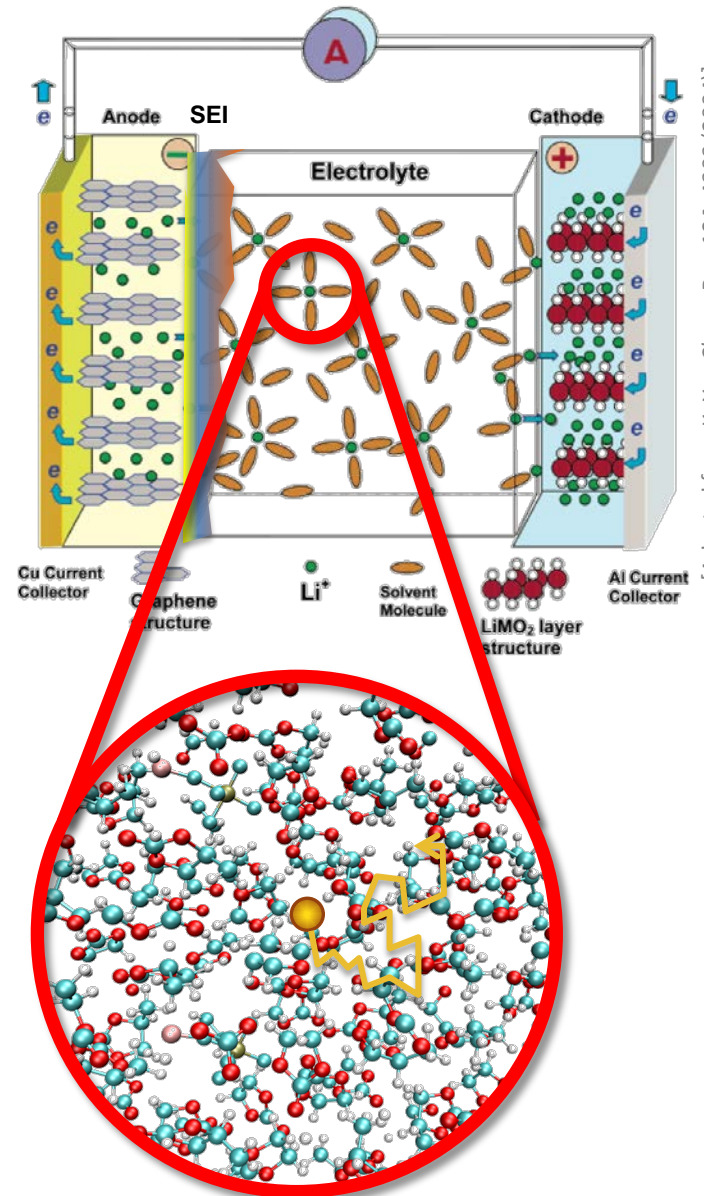
- Li-ion batteries have revolutionized consumer electronics and have the potential to do the same for transportation (e.g., plug-in hybrids, all-electrics, aircraft) and electrical distribution (e.g., load leveling)
- To do so, energy/power density, lifetime, **safety** must be increased
- Key issue: **solid-electrolyte interphase (SEI)** layer at electrolyte-anode interface, product of electrolyte decomposition
- Understanding has been hindered by need for both quantum mechanical description and sufficiently large length/time scales to capture necessary complexity
- In this work, we:
  - *Develop* new **Discontinuous Galerkin (DG)** based electronic structure method to accomplish quantum molecular dynamics (QMD) on an unprecedented scale
  - *Apply* new method to advance understanding of the **chemistry & dynamics of electrolyte/SEI/anode systems**



# Simulations

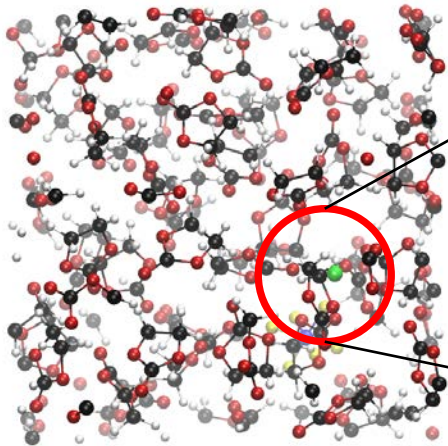
- Initial phase of project, while new DG/PEXSI code is developed and optimized: **Qbox** [1] for systems of < 2,000 atoms
- $\text{Li}^+$  solvation and diffusion: determine diffusion coefficients, effect of counter-ion, differences in bulk vs near interface

Molecular dynamics simulation of 50/50 ethylene carbonate/propylene carbonate electrolyte

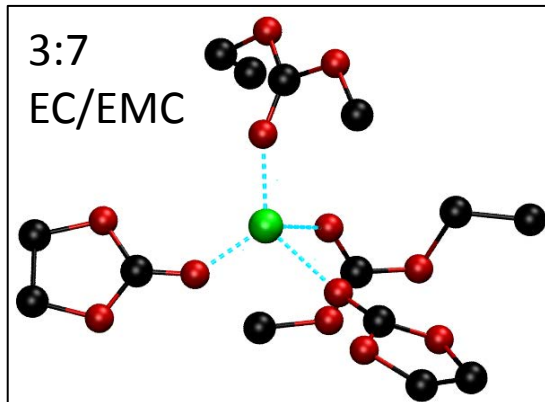
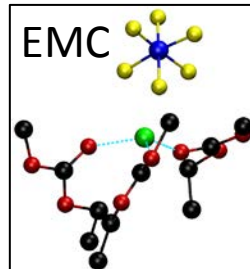
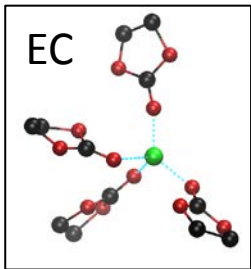
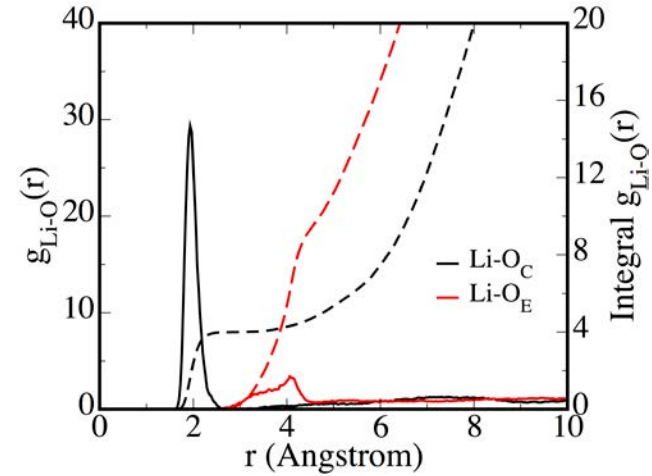
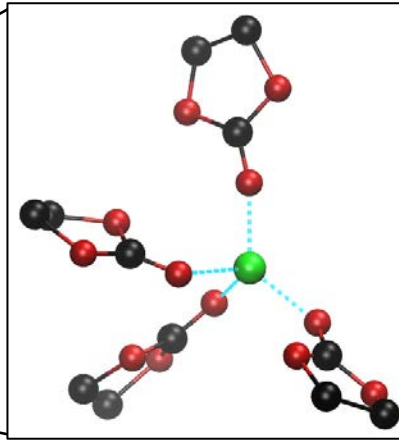


# Ion solvation

C  
H  
O  
P  
F  
Li



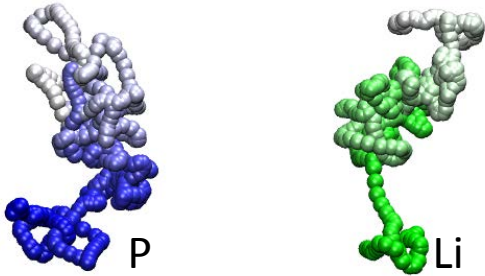
638 atoms  
2190 electrons



- Li<sup>+</sup> prefers tetrahedrally-coordinated first solvation shell
- Stronger solvation for Li<sup>+</sup> than PF<sub>6</sub><sup>-</sup>
- Mixture shows preference for Li<sup>+</sup> to be solvated by EC over EMC
- PF<sub>6</sub><sup>-</sup> more mobile than Li<sup>+</sup> due to weaker solvation

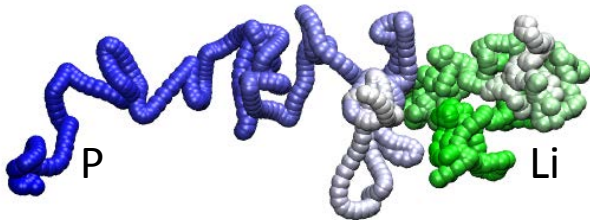
# Ion diffusion

## Ethylene Carbonate (EC)



	$D_{\text{Li}} (10^{-6} \text{ cm}^2/\text{s})$	$D_{\text{P}} (10^{-6} \text{ cm}^2/\text{s})$
EC	$5.2 \pm 0.8$	$7.1 \pm 0.9$
EMC	<b><math>9.6 \pm 1.6</math></b>	<b><math>30.8 \pm 8.8</math></b>
3:7 EC/EMC	$2.6 \pm 1.3$	$5.7 \pm 2.4$

## Ethyl Methyl Carbonate (EMC)



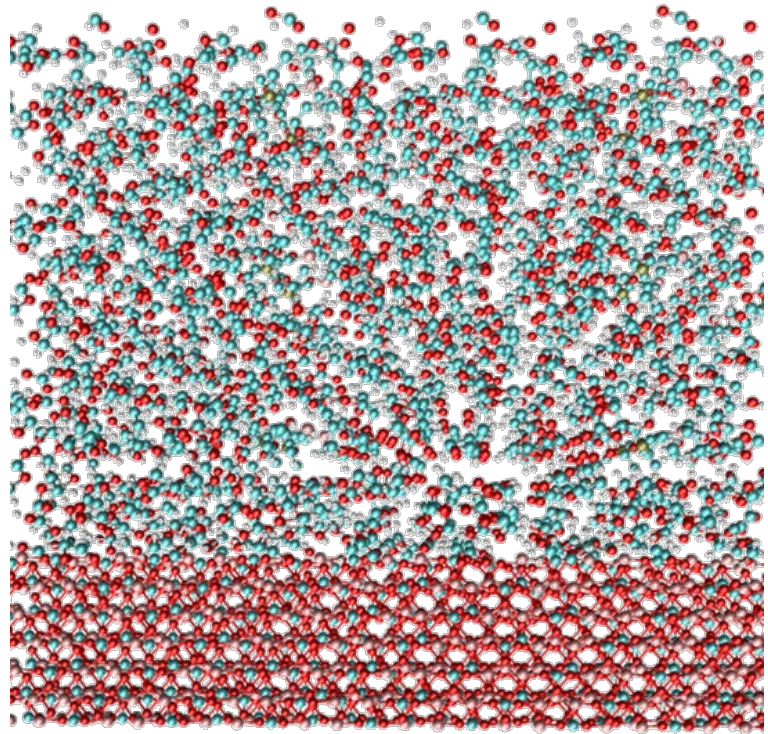
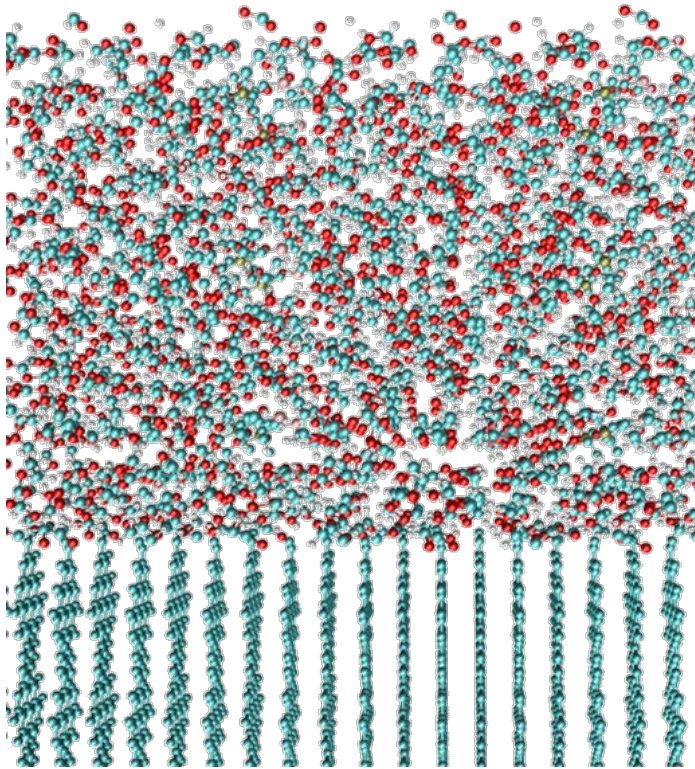
## 3:7 EC/EMC Mixture



- Motion of  $\text{Li}^+$  and  $\text{PF}_6^-$  in EMC less correlated than in EC
- Mixture shows correlated motion similar to EC
- Faster diffusion for  $\text{Li}^+$  seen in EMC than EC
- $\text{PF}_6^-$  has larger diffusion coefficient than  $\text{Li}^+$  since  $\text{Li}^+$  is more strongly solvated than  $\text{PF}_6^-$
- **Size of coefficient tied to solvation structure**

# Simulations

- As the new **DGDFT/PEXSI** method and code ramp up, we transition to it for larger scale simulations, up to 10,000 atoms
- Full electrolyte-anode and electrolyte-SEI systems



**EC/PC mixture (+ LiPF<sub>6</sub>) on graphite (left) and Li<sub>2</sub>CO<sub>3</sub> (right)**, used to study chemical reactions on the anode surface (for initial SEI formation) and a representative SEI compound (for SEI growth/evolution)

How to reach the needed length and time scales?

Throw off the shackles of continuity.

Rethink the need for eigenfunctions.

# Quantum molecular dynamics (QMD)

- Solve Kohn-Sham equations for electronic structure, compute quantum mechanical forces, move atoms, repeat – thousands to hundreds of thousand of times

Kohn-Sham equations

$$-\frac{1}{2}\nabla^2\psi_i(\mathbf{x}) + \hat{V}_{\text{eff}}\psi_i(\mathbf{x}) = \varepsilon_i\psi_i(\mathbf{x}),$$

$$\hat{V}_{\text{eff}} = V_I^\ell + \hat{V}_I^{\text{nl}} + V_H + V_{xc}, \text{ (Schrödinger)}$$

$$V_I^\ell = \sum_a V_{I,a}(\mathbf{x}),$$

$$\hat{V}_I^{\text{nl}}\psi_i = \sum_a \int d\mathbf{x}' V_{I,a}^{\text{nl}}(\mathbf{x}, \mathbf{x}')\psi_i(\mathbf{x}'),$$

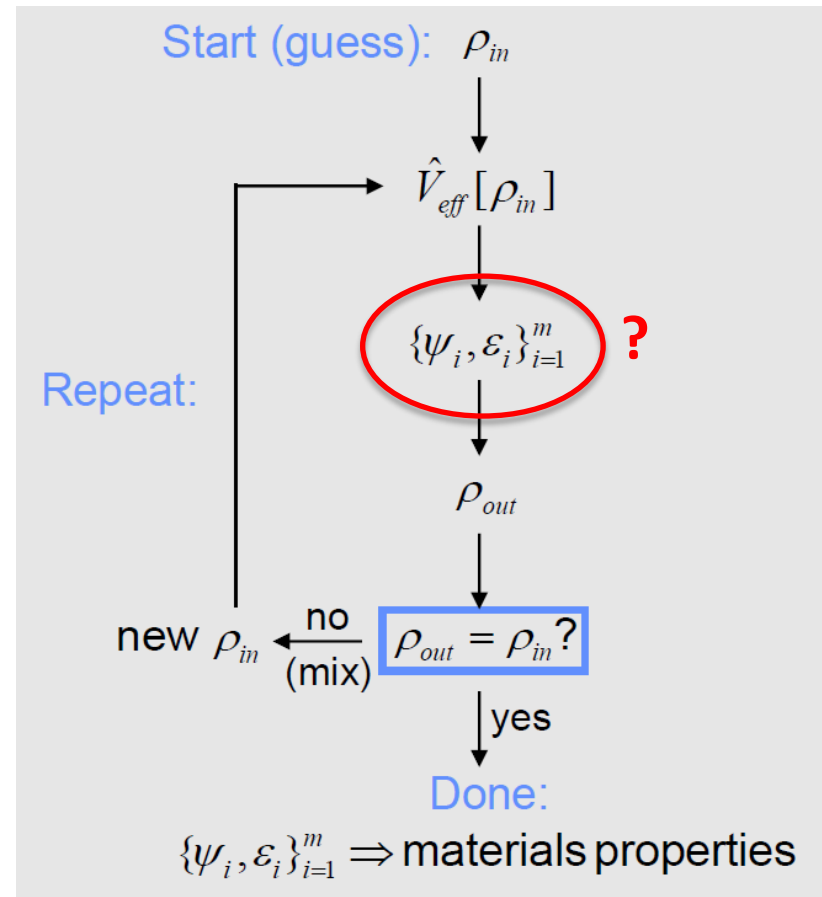
$$V_H = - \int d\mathbf{x}' \frac{\rho_e(\mathbf{x}')}{|\mathbf{x} - \mathbf{x}'|}, \text{ (Poisson)}$$

$$V_{xc} = V_{xc}(\mathbf{x}; \rho_e),$$

$$\rho_e = - \sum_i f_i \psi_i^*(\mathbf{x})\psi_i(\mathbf{x}),$$

~ 10<sup>4</sup> atoms, more eigenfunctions

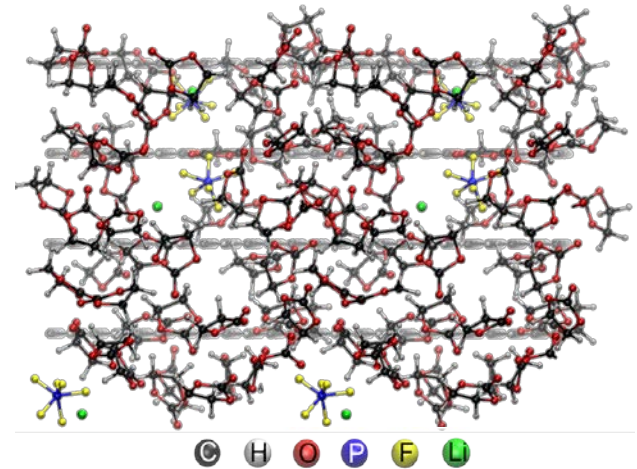
Self-consistent field (SCF) solution process



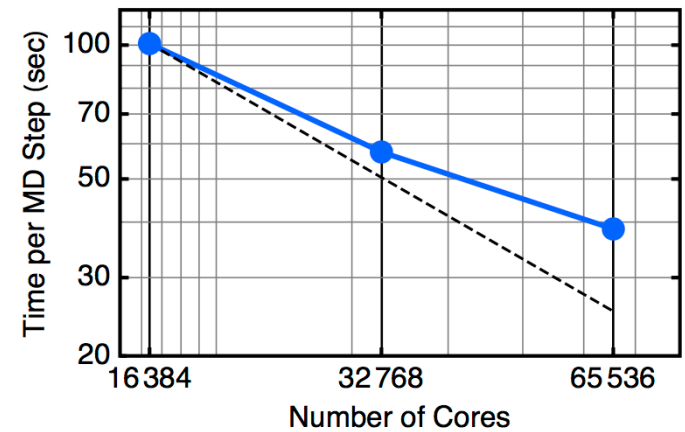


# Pushing the current state of the art: Qbox application and development

- Strong scaling to **65,536 cores** on BG/Q
  - Uses hardware threading & SIMD registers on BG/Q
  - Optimized BLAS/ScaLAPACK kernels on BG/Q
  - Preconditioned steepest descent for occupied subspace
- → **1,700-atom anode-electrolyte system in 40 sec per QMD step**
- Up to 2,014-atom anode-electrolyte systems presently: 79 sec per MD step on 64k cores



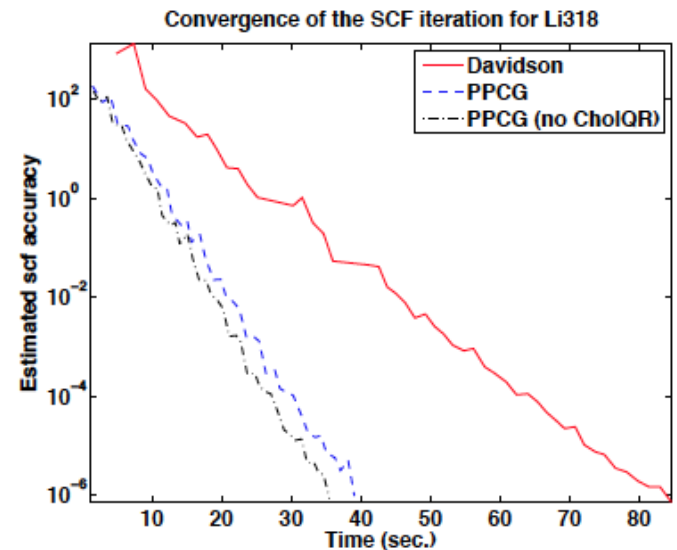
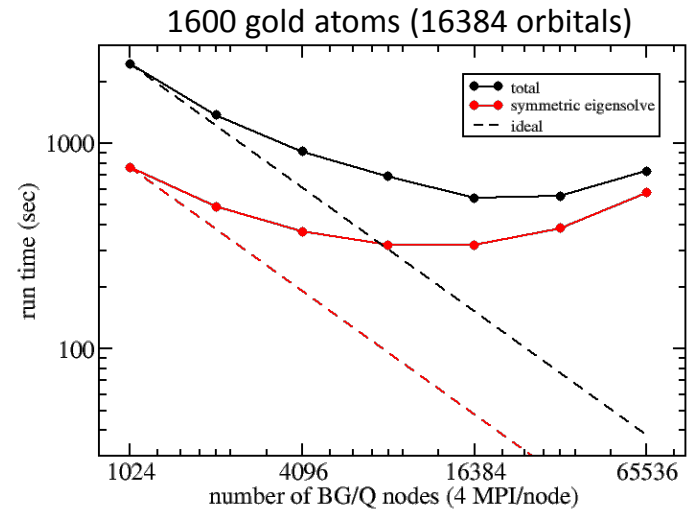
1700-atom anode-electrolyte cell



Qbox strong scaling on BG/Q

# Current focus: Rayleigh-Ritz

- Metallic calculations rely on diagonalization in the occupied subspace (Rayleigh-Ritz)
- Limits both efficiency ( $N^3$ ) and parallel scaling
- In collaboration with **FASTMath**, we are investigating alternatives to minimize or eliminate Rayleigh-Ritz entirely
  - Trace penalty minimization: minimize trace of Rayleigh quotient and penalty term to enforce orthogonality [1]
  - Projected Preconditioned Conjugate Gradient (**PPCG**): Replace  $3m \times 3m$  Rayleigh-Ritz with  $m$   $3 \times 3$  ones [2]
  - PPCG now implemented in Quantum Espresso parallel plane-wave code
  - Initial tests indicate **factor of two speed up (already)** and **potentially superior parallel scalability** relative to current-state-of-the-art Davidson solver
- **Goal: Metallic as fast as insulating, 2,000-atom metallic QMD**

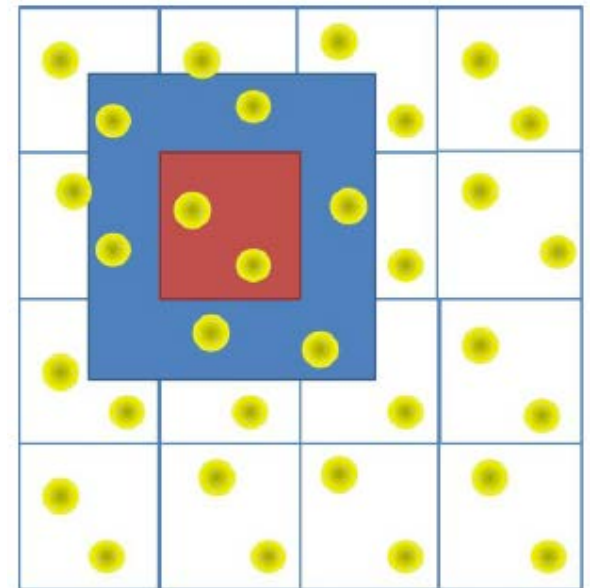


[1] Wen, Yang, Liu, Zhang, J. Sci. Comp., submitted

[2] Vecharynski, Yang, Pask, J. Comp. Phys., submitted

# Moving beyond the current state of the art: DGDFT

- DG framework allows solving the Kohn-Sham equations in a discontinuous basis
- Because basis can be discontinuous, can possess number of desirable properties simultaneously:
  - **Efficient** (few tens of DOF/atom)
  - **Systematically improvable**
  - **Strictly local**: identically zero outside prescribed subdomain, zero overlap across subdomains
  - **Orthonormal**: standard eigenproblem, well-cond.
- How?
  - Partition domain into subdomains (elements)
  - Solve Kohn-Sham equations in each element
  - Basis is union of local Kohn-Sham solutions



**Solve large  $N$ -atom problem in highly efficient basis of  $O(N)$  local Kohn-Sham solutions**

# DG method

- Discontinuity is accommodated by surface (“flux”) terms [1 - 4]
- Kohn-Sham Hamiltonian becomes

$$H_{DG}(k', j'; k, j) = \frac{1}{2} \langle \nabla u_{k', j'}, \nabla u_{k, j} \rangle_{\mathcal{T}} + \alpha \langle [[u_{k', j'}]], [[u_{k, j}]] \rangle_{\mathcal{S}} - \frac{1}{2} \langle [[u_{k', j'}]], \{ \{ \nabla u_{k, j} \} \} \rangle_{\mathcal{S}}$$

$$- \frac{1}{2} \langle \{ \{ \nabla u_{k', j'} \} \}, [[u_{k, j}]] \rangle_{\mathcal{S}} + \langle u_{k', j'}, V_{\text{eff}} u_{k, j} \rangle_{\mathcal{T}} + \sum_{\ell} \gamma_{\ell} \langle u_{k', j'}, b_{\ell} \rangle_{\mathcal{T}} \langle b_{\ell}, u_{k, j} \rangle_{\mathcal{T}}$$

$\mathcal{T}$  = elements

$\mathcal{S}$  = element surfaces

$u_{k, j}$  =  $j$ th basis function in  $k$ th element

$\{ \{ \cdot \} \}$  and  $[[ \cdot ]]$  = average and jump operators across surfaces

- Kohn-Sham equations:  $H_{DG} c_i = \varepsilon_i c_i$

- Wavefunctions:  $\psi_i = \sum_{E_k \in \mathcal{T}} \sum_{j=1}^{J_k} c_{i; k, j} u_{k, j}$

- Density:  $\rho = \sum_{E_k \in \mathcal{T}} \sum_{i=1}^N \left| \sum_{j=1}^{J_k} c_{i; k, j} u_{k, j} \right|^2$

- Energy:  $E_{\text{tot}} = \sum_{i=1}^N \varepsilon_i - \frac{1}{2} \iint \frac{\rho(x)\rho(y)}{|x-y|} dx dy + \int \epsilon_{\text{xc}}[\rho(x)] dx - \int \epsilon'_{\text{xc}}[\rho(x)] \rho(x) dx$

# Energies, forces, degrees of freedom

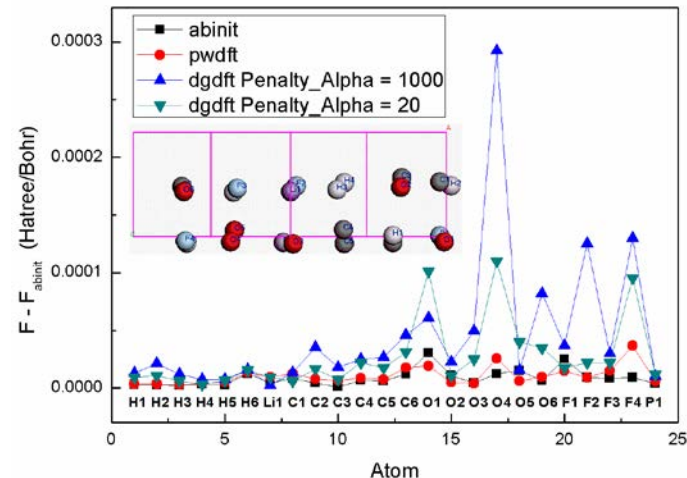
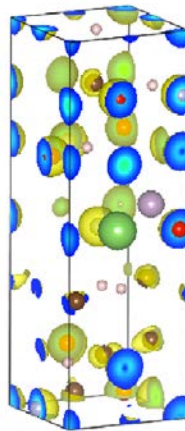
- Energies to  $< 1e-3$  Ha/atom, forces to  $< 1e-3$  Ha/au absolute error with **~10 basis funcs/atom**

~40 DOF/atom  
for 3D

Si 1x1x4: random displacements

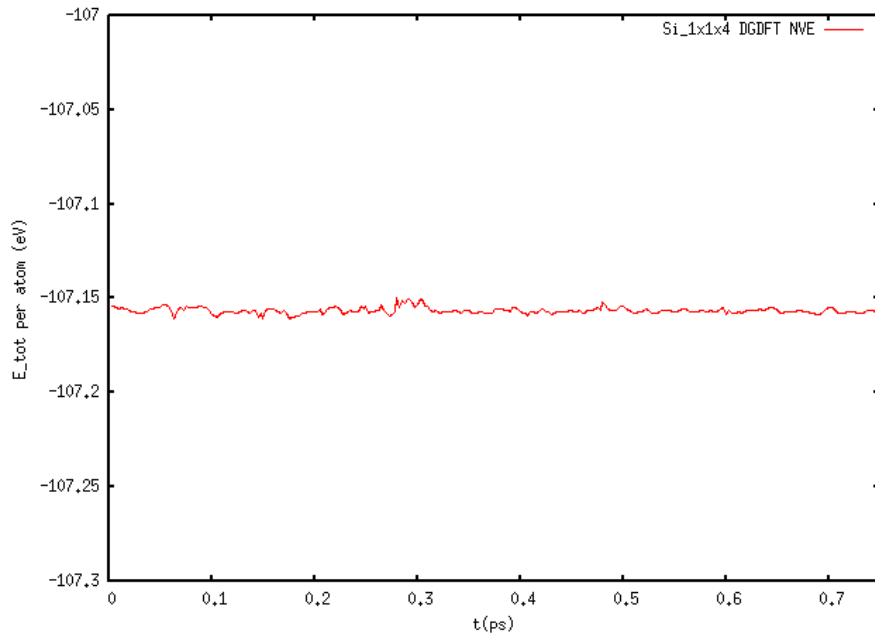


- Hard atoms: Li-ion system – Li, P, F, C, H, O
- Forces to  $1e-4$  Ha/au absolute error with **15 basis funcs/atom**



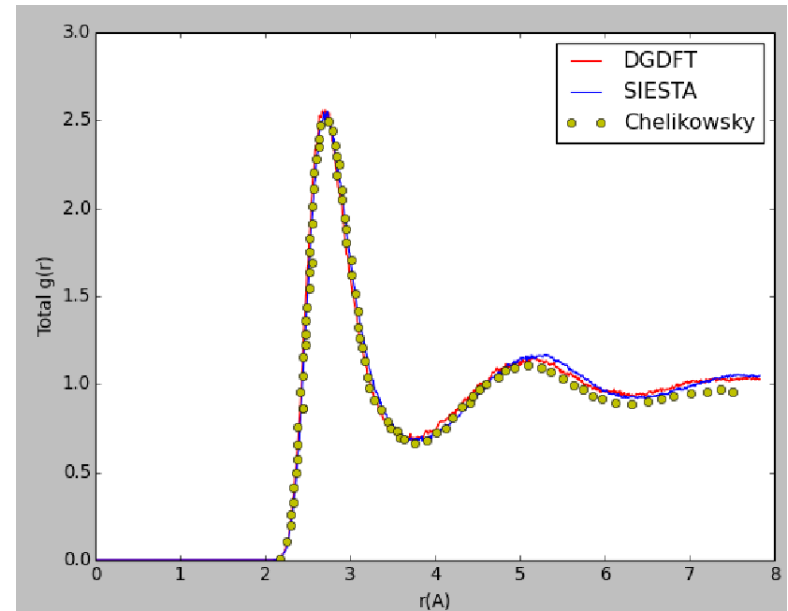
- Largest system so far: **4,392 atoms** on 2,196 CPUs by direct diagonalization

# Molecular dynamics



NVE MD simulation of liquid Si at 3000K using DGDFT

Energy drift < 1.5 meV/atom/ps

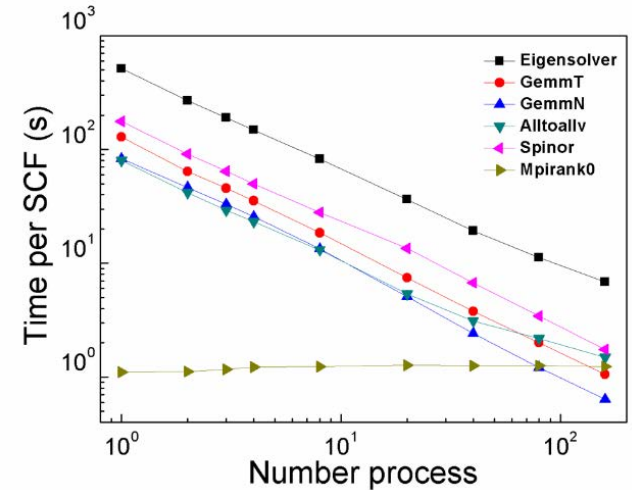
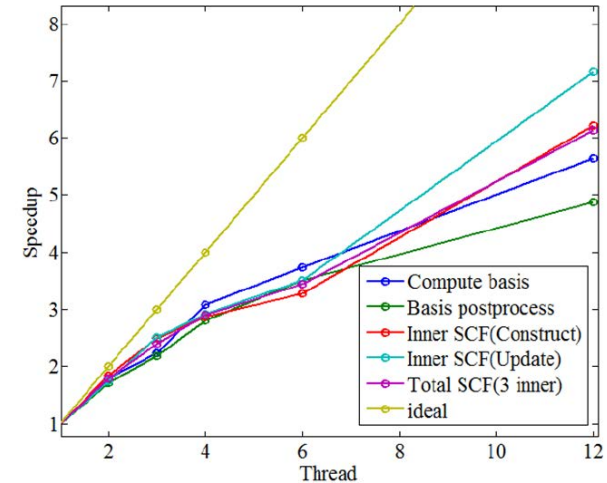


Pair correlation for  $\text{Si}_{0.12}\text{Al}_{0.88}$  alloy with 200 atoms

Agreement with previous literature and independent calculations

# Current focus: local K-S solves

- Solution of the local  $\sim 50$ -atom Kohn-Sham problems in each element
- In collaboration with **FASTMath**, we are parallelizing the local K-S solutions to remove this bottleneck
- **OpenMP**: speedup by factor of 6
  - Time per SCF reduced from **180.2 s** (OMP=1) to **29.4 s** (OMP=12) for 24-atom Li-ion system
- **MPI**: PWDFT can use more than **160 cores** with **70-fold speedup** to generate DG basis
  - Time per SCF  $\sim 10$  s for 20-atom  $\text{Yb}_8\text{O}_{12}$  system
- More to come!



# For the largest systems: PEXSI

- Solving for Kohn-Sham wavefunctions of  $N$  atom system scales as  $O(N^3)$
- Solve for density directly instead

$$\rho(x) = \text{diag} \left( f_{\beta}(\hat{H}[\rho(x)] - \mu\delta(x, x')) \right)$$

$\hat{H}$  = Hamiltonian,  $\mu$  = chemical potential,  $f_{\beta}(x) = 2/(1 + e^{\beta x})$   
 $\beta = 1/k_B T$ ,  $k_B$  = Boltzmann constant,  $T$  = temperature

- Need efficient approximation of Fermi function  $\rightarrow$  **Pole expansion** [1]

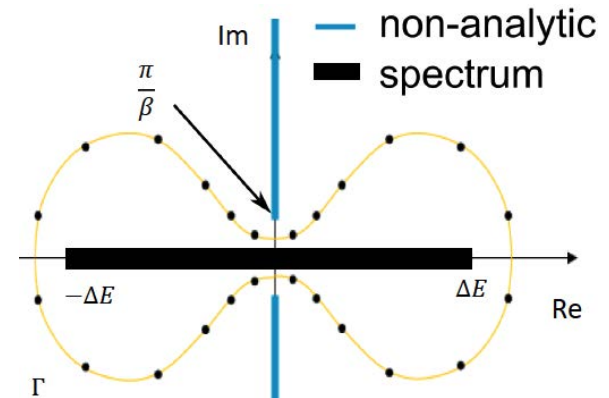
$$f_{\beta}(\varepsilon - \mu) \approx \Im \sum_{l=1}^P \frac{\omega_l^p}{\varepsilon - (z_l + \mu)}$$

$z_l, \omega_l^p \in \mathbb{C}$  are complex shifts and weights

- Need efficient inversion
- Need only diagonal  $\rightarrow$  **Selected Inversion** [2]
- $\rightarrow$  **Pole Expansion and Selected Inversion (PEXSI)**

- **No need to compute eigenfunctions or eigenvalues**

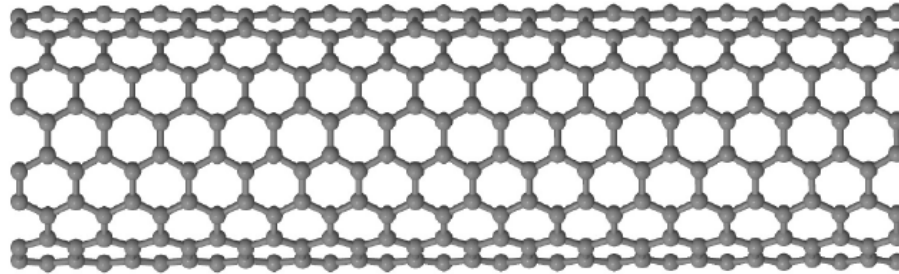
- **Scaling  $O(N)$  for quasi-1D systems;  $O(N^2)$  for metallic 3D**





# Energies, forces, poles

- Metallic carbon nanotube, CNT (8,8), 512 atoms, atomic orbital basis [1]



- Accuracy of expansion at  $T = 300\text{K}$

# Poles	$E_{\text{PEpSI}} - E_{\text{ref}}$ (eV)	MAE Force (eV/Angstrom)
20	5.868351108	0.400431
40	0.007370583	0.001142
60	0.000110382	0.000026
80	0.000000360	0.000002

- Largest 3D system so far: **24,000-atom** water using SIESTA DZP basis [2]
  - **314 sec** SCF iteration on 10,240 cores
  - **30-fold speedup** relative to diagonalization

[1] Lin, Chen, Yang, He, J. Phys.: Cond. Mat., 2013

[2] Lin, Garcia, Huhs, Yang, J. Phys.: Cond. Mat., 2014

# PEXSI released

The PEXSI library is available online  
<http://pexsi.org/>, BSD license

- Integrated with SIESTA for accelerating atomic-orbital based calculations
- Being integrated into CP2K and other electronic structure packages
- Massively parallel to **10,000 – 100,000 processors** on high performance computers

[M. Jacquelin, L. Lin and C. Yang, submitted]

## PEXSI

Main Page

Classes

Files

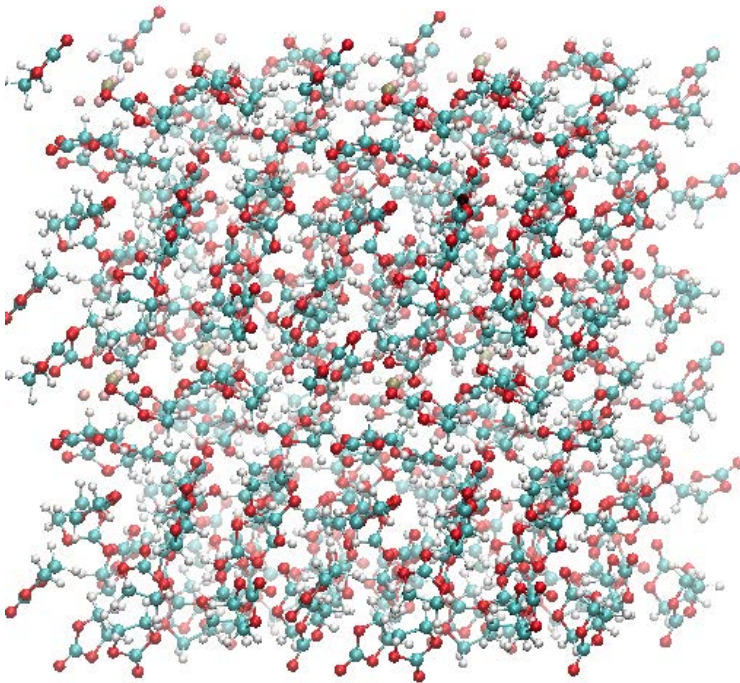
### Main Page

Welcome to the documentation of PEXSI (current version: v0.7.1)

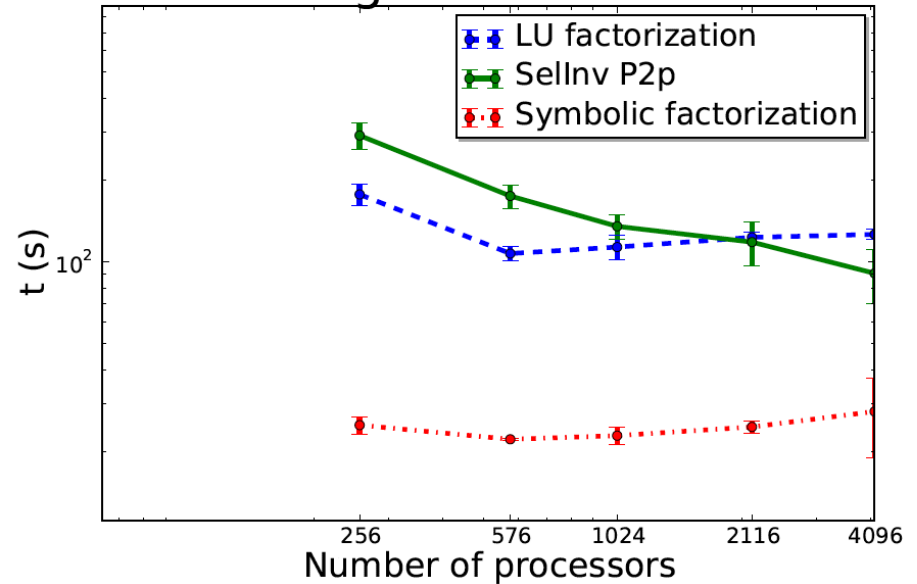
- Introduction
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- Tutorial
  - Using plans
  - Parallel selected inversion for a real symmetric matrix
  - Parallel selected inversion for a complex symmetric matrix
  - Solving Kohn-Sham density functional theory: I
  - Solving Kohn-Sham density functional theory: II
- Core Functionality
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  - Data type
  - Pole expansion
  - Factorization
  - Selected Inversion
  - C/C++ interface
  - FORTRAN interface
- Frequently asked questions
- Troubleshooting

# DG + PEXSI

- **2544-atom** Li-ion electrolyte



Running times for Li2544



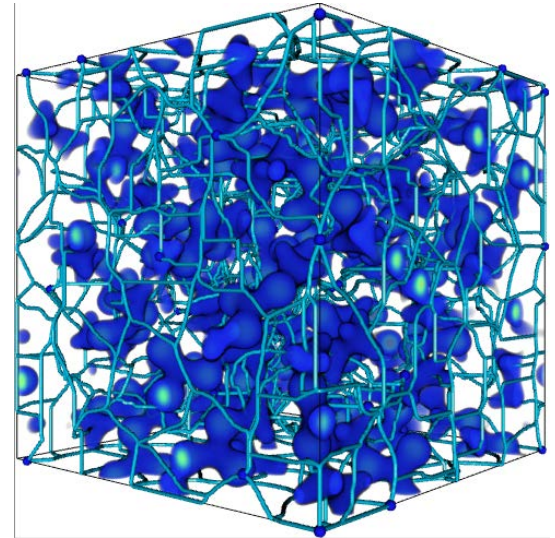
- 12x12x12 element partition, 68 basis functions per atom
- PEXSI: ~250 sec per pole per  $\mu$  iteration on 1024 cores
- High accuracy  $\rightarrow$  40 poles,  $\sim 2$   $\mu$  iterations  $\rightarrow$   **$\sim 500$  sec/SCF on 40,960 cores**

# Current focus: factorization

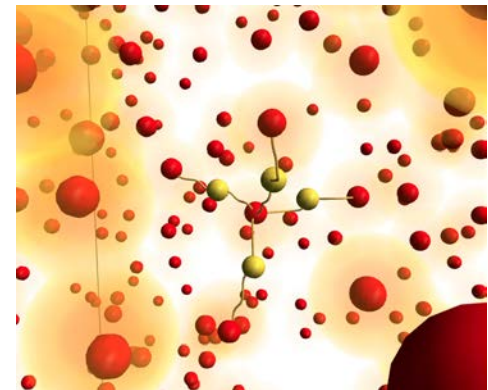
- Parallel scaling of  $LU$  factorization
- By pipelining and overlapping communication with computation, SellInv now faster and better scaling than SuperLU\_DIST
- SuperLU\_DIST scales to only  $\sim 1000$  CPU
- In collaboration with **FASTMath**, we are exploring alternatives for better scaling sparse direct factorization
  - More robust symbolic factorization
  - New symmetric factorization code under development: exploring block fan-out and fan-both methods for better parallel scaling
  - Leverage results of previous SCF iteration: previous  $H$ ,  $LU$ ?
- To get SCF step times down from minutes to seconds for 3D systems may require iterative methods to fully leverage information from previous SCF/MD steps

# New collaboration: SDAV

- Valerio Pascucci, Attila Gyulassi (University of Utah), Timo Bremer (LLNL)
- Systematic topological analysis of quantum mechanical data: density, potential, wavefunctions
- Compute Morse-Smale complex: minima, maxima, saddle points → mountains, voids, ridges, valleys, *connectivity*
- Evolution in space and time
- Bond formation and breaking
- Voids/tunnels for Li<sup>+</sup> transport
- On the fly → inform/accelerate MD?



Valley lines connecting voids in QMD charge density



Saddle-max-saddle connections in distance field

**Thanks for your attention!**

Please visit us at <http://www.dgdft-scidac.org>