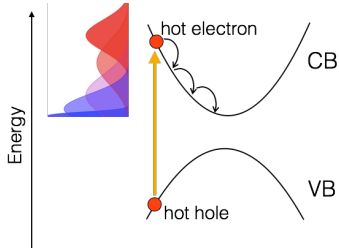


# Ultrafast Dynamics of Excited Electrons in Materials for Energy Application

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## Ultrafast Dynamics of Excited Carriers

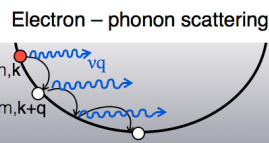


Understand excited (“hot”) carriers in materials:

- Energy distribution vs. time
- Timescale (10–100 fs) for energy loss
- Transport and mean free paths

Codes: Quantum ESPRESSO, BerkeleyGW, EPW. We used in-house modified versions

Two ultrafast (<1ps) mechanisms for hot carriers to lose energy



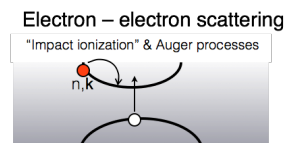
Use perturbation theory

$$g \sim \langle mk+q | \nabla V_{KS} \cdot \epsilon_{\mathbf{q}} | nk \rangle$$

el-ph matrix elements interpolate on fine grids

$$(\tau_{nk}^{-1})_{el-ph} - \text{Im}(\Sigma_{nk})_{el-ph} = \text{Im} g^2 G D$$

DFT + Wannier electron-phonon approach

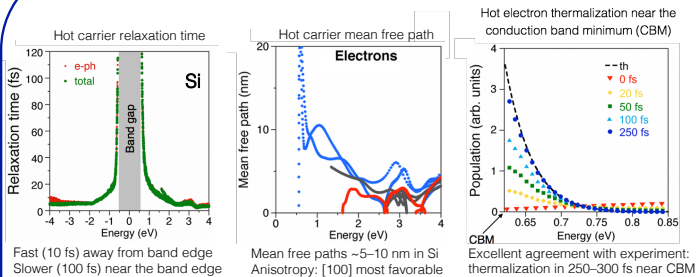


Ab initio GW method

$$(\tau_{nk}^{-1}) - \text{Im}(\Sigma_{nk})_{el-el} = \text{Im} G \cdot (\epsilon_{RPA}^{-1} V)$$

$$\text{Relaxation time of carrier in state } n, k \quad (\tau_{nk})^{-1} = \text{Im}(\Sigma_{nk})_{el-ph} + \text{Im}(\Sigma_{nk})_{el-el}$$

## Hot Carriers in Silicon Solar Cells



Fast (10 fs) away from band edge  
 Slower (100 fs) near the band edge

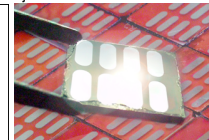
Mean free paths ~5–10 nm in Si  
 Anisotropy: [100] most favorable

Excellent agreement with experiment:  
 thermalization in 250–300 fs near CBM

Highlight of the DOE-BES, press articles by LBNL and several journals and websites

First Ab Initio Method for Characterizing Hot Carriers Could Hold the Key to Future Solar Cell Efficiencies

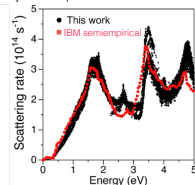
Science Shans Lynn Yarris (mailto:lyarris@lbl.gov) • JULY 17, 2014



Reference: M. Bernardi, D. Vigil-Fowler, J. Lischner, J.B. Neaton, S.G. Louie, Phys. Rev. Lett. 112, 257402 (2014)

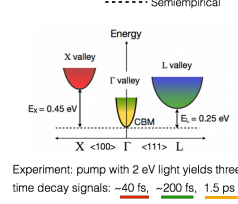
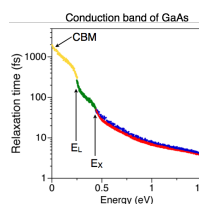
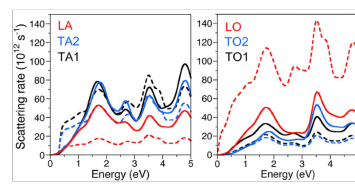
## Hot Electrons in GaAs

Compute ~50 trillion el-ph matrix elements  
 No adjustable parameters in the calculation



Semiempirical: Multiple parameters for el-ph coupling

Where did the “old” (semiempirical) calculations go wrong?

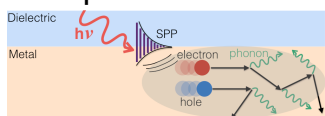


Excellent agreement with pump-probe experiments

Help resolve a long-standing controversy on HCs in GaAs

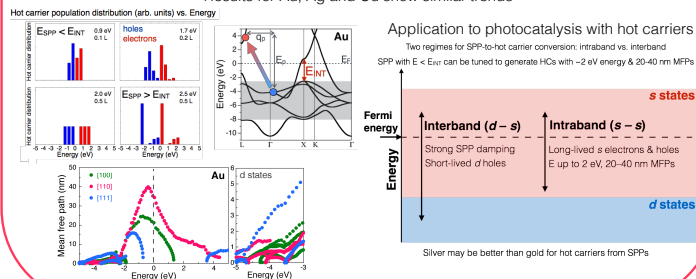
Reference: M. Bernardi, D. Vigil-Fowler, C.S. Ong, J.B. Neaton, S.G. Louie, PNAS 112, 5291 (2015)

## Generation and relaxation of hot carriers from surface plasmons in noble metals



Energy and momentum conserving transitions induced by surface plasmon polaritons (SPPs) generate hot carriers with a distribution of energies (in a probabilistic sense)

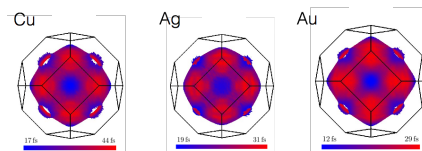
Results for Au, Ag and Cu show similar trends



Reference: M. Bernardi, J. Mustafa, J.B. Neaton, S.G. Louie, Nature Commun. 6, 7044 (2015)

## Carrier Transport from First Principles

Electron-phonon (el-ph) scattering controls the resistivity of metals at room temperature  
 Using importance sampling, we map the el-ph relaxation times (RT) on the Fermi surface



Relaxation times on the Fermi surface

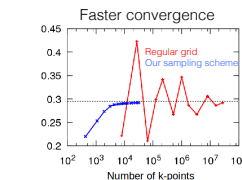
$$\text{Conductivity } \sigma_{ij} = e^2 \sum_{n,k} \tau_{n,k} v_{n,k,i} v_{n,k,j} (-\partial f / \partial E)$$

Typical ab initio calculations combine DFT bands with the RT as a parameter  
 Using GW and ab initio el-ph RTs, we can predict the resistivity of noble metals within 10% of exp.

Accuracy

Resistivity (μOhm cm)			
Calculated	Experimental		
GW+ab-initio RT	SC	PC	
Cu	1.57	1.52	1.74
Ag	1.99	1.49	1.68
Au	1.95		2.26

SC = single crystal PC = polycrystalline



Reference: J. Mustafa, M. Bernardi, J.B. Neaton, S.G. Louie, Submitted.